

# MATH 3520 - EXAM 2 FALL 2019

## SOLUTION

Friday, 18 October

Instructor: Tom Cuchta

### **Instructions:**

- Show all work, clearly and in order, if you want to get full credit. If you claim something is true **you must show work backing up your claim**. I reserve the right to take off points if I cannot see how you arrived at your answer (even if your final answer is correct).
- Justify your answers algebraically whenever possible to ensure full credit.
- Circle or otherwise indicate your final answers.
- Please keep your written answers brief; be clear and to the point.
- Good luck!

1. (12 points) Is  $W$  a subspace of  $V$ ? If so, prove it. If not, explain why not (preferably using a counterexample).

(a) (6 points)  $V = \mathcal{P}_2$  and  $W = \{at^2 + b : a, b \in \mathbb{R}\}$

*Solution:* Yes  $W$  is a subspace of  $V$ . First we show that it is closed under vector addition: let  $at^2 + b, ct^2 + d \in W$ . Then,

$$(at^2 + b) + (ct^2 + d) = (a + c)t^2 + (b + d) \in W,$$

because  $a + c \in \mathbb{R}$  and  $b + d \in \mathbb{R}$ . Now we show that it is closed under scalar multiplication: let  $at^2 + b \in W$  and let  $\alpha \in \mathbb{R}$  be a scalar, then

$$\alpha(at^2 + b) = \alpha at^2 + \alpha b \in W,$$

because  $\alpha a \in \mathbb{R}$  and  $\alpha b \in \mathbb{R}$ . Therefore  $W$  is a subspace of  $V$ .

(b) (6 points)  $V = \mathbb{R}^{2 \times 2}$  and  $W = \left\{ \begin{bmatrix} a & 0 \\ 1 & b \end{bmatrix} : a, b \in \mathbb{R} \right\}$

*Solution:* No,  $W$  is not a subspace of  $V$  because it is not closed under addition. For instance, let  $\vec{v}_1 = \vec{v}_2 = \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \in W$ . Then

$$\vec{v}_1 + \vec{v}_2 = \begin{bmatrix} 0 & 0 \\ 2 & 0 \end{bmatrix} \notin W,$$

because it has a “2” in the lower left corner, contrary to the condition that defines  $W$ .

2. (11 points) Determine whether or not  $\mathcal{B} = \left\{ \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \right\}$  is a basis for vector space  $V = \mathbb{R}^{3 \times 1}$ . Explain why or why not.

*Solution:* It is a basis. To check it, first check whether or not  $\mathcal{B}$  spans  $\mathbb{R}^{3 \times 1}$ : let  $\begin{bmatrix} a \\ b \\ c \end{bmatrix} \in \mathbb{R}^{3 \times 1}$ , and consider the vector equation

$$\alpha_1 \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} + \alpha_2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + \alpha_3 \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} a \\ b \\ c \end{bmatrix}.$$

This becomes

$$\begin{bmatrix} \alpha_1 + \alpha_3 \\ \alpha_2 \\ -\alpha_1 + \alpha_2 + \alpha_3 \end{bmatrix} = \begin{bmatrix} a \\ b \\ c \end{bmatrix}.$$

We will know that  $\mathcal{B}$  spans  $\mathbb{R}^{3 \times 1}$  if we can solve this system of linear equations. Of course we can write it as an augmented matrix and put it into reduced echelon form:

$$\begin{bmatrix} 1 & 0 & 1 & a \\ 0 & 1 & 0 & b \\ -1 & 1 & 1 & c \end{bmatrix} \sim \begin{bmatrix} 1 & 0 & 0 & \frac{a+b-c}{2} \\ 0 & 1 & 0 & b \\ 0 & 0 & 1 & \frac{a-b+c}{2} \end{bmatrix},$$

meaning that we have solution vector

$$\vec{\alpha} = \begin{bmatrix} \alpha_1 \\ \alpha_2 \\ \alpha_3 \end{bmatrix} = \begin{bmatrix} \frac{a+b-c}{2} \\ b \\ \frac{a-b+c}{2} \end{bmatrix},$$

and so we know that  $\mathcal{B}$  spans  $\mathbb{R}^{3 \times 1}$ . Now we must check if  $\mathcal{B}$  is linearly independent. This means we must check to see if there are any nonzero solutions to the vector equation

$$\alpha_1 \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix} + \alpha_2 \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} + \alpha_3 \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}.$$

However, we have already solved this equation and so we simply plug in  $a = b = c = 0$  into our earlier solution and we observe solution vector

$$\vec{\alpha} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}.$$

Therefore  $\mathcal{B}$  is linearly independent. Since  $\mathcal{B}$  is linearly independent and spans  $\mathbb{R}^{3 \times 1}$ , we conclude that it is a basis for  $\mathbb{R}^{3 \times 1}$ .

3. (16 points) Consider the basis  $\mathcal{B} = \{1, 2x, 4x^2 - 2\}$  of  $\mathcal{P}_2$  (this basis for  $\mathcal{P}_2$  is called the ‘‘Hermite polynomials’’). Find  $[x^2 + x + 1]_{\mathcal{B}}$ .

*Solution:* We have to express the polynomial  $x^2 + x + 1$  in terms of the basis  $\mathcal{B}$ . This amounts to finding weights  $\alpha_1, \alpha_2$ , and  $\alpha_3$  so that

$$x^2 + x + 1 = \alpha_1(1) + \alpha_2(2x) + \alpha_3(4x^2 - 2).$$

Rearranging the right-hand side yields

$$x^2 + x + 1 = (4\alpha_3)x^2 + (2\alpha_2)x + (\alpha_1 - 2\alpha_3).$$

Equating coefficients yields the system of linear equations

$$\begin{cases} 4\alpha_3 & = 1 \\ 2\alpha_2 & = 1 \\ \alpha_1 - 2\alpha_3 & = 1. \end{cases}$$

We can solve this directly or with an augmented matrix:

$$\begin{bmatrix} 0 & 0 & 4 & 1 \\ 0 & 2 & 0 & 1 \\ 1 & 0 & -2 & 1 \end{bmatrix} \xrightarrow{\text{rref}} \begin{bmatrix} 1 & 0 & 0 & \frac{3}{2} \\ 0 & 1 & 0 & \frac{1}{2} \\ 0 & 0 & 1 & \frac{1}{4} \end{bmatrix}.$$

Therefore, we have shown that

$$[x^2 + x + 1]_{\mathcal{B}} = \begin{bmatrix} \frac{3}{2} \\ \frac{1}{2} \\ \frac{1}{4} \end{bmatrix}.$$

4. (17 points) Consider the basis  $\mathcal{B} = \left\{1, x - \frac{1}{2}, x^2 - x\right\}$  (called ‘‘Euler polynomials’’) and the basis  $\mathcal{C} = \{1, x, x^2\}$  of  $\mathcal{P}_2$ . Find the change of basis matrix  $P_{\mathcal{C} \leftarrow \mathcal{B}}$ .

*Solution:* The change of basis matrix is given by

$$P_{\mathcal{C} \leftarrow \mathcal{B}} = \begin{bmatrix} [1]_{\mathcal{C}} & [x - \frac{1}{2}]_{\mathcal{C}} & [x^2 - x]_{\mathcal{C}} \end{bmatrix},$$

so we must find those coordinates. To find  $[1]_{\mathcal{C}}$ , we have to find scalars  $\alpha_1, \alpha_2, \alpha_3$  so that

$$1 = \alpha_1(1) + \alpha_2(x) + \alpha_3(x^2).$$

It is immediately clear that  $\alpha_1 = 1$  and  $\alpha_2 = \alpha_3 = 0$ . Therefore,

$$[1]_{\mathcal{C}} = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}.$$

To find  $\left[x - \frac{1}{2}\right]_{\mathcal{C}}$  we must find scalars  $\beta_1, \beta_2, \beta_3$  so that

$$x - \frac{1}{2} = \beta_1(1) + \beta_2(x) + \beta_3(x^2).$$

It is immediately clear that  $\beta_1 = -\frac{1}{2}$ ,  $\beta_2 = 1$ , and  $\beta_3 = 0$ . Therefore the coordinates are

$$\left[x - \frac{1}{2}\right]_{\mathcal{C}} = \begin{bmatrix} -\frac{1}{2} \\ 1 \\ 0 \end{bmatrix}.$$

Finally, to find the coordinates  $[x^2 - x]_{\mathcal{C}}$ , we must find scalars  $\gamma_1, \gamma_2, \gamma_3$  so that

$$x^2 - x = \gamma_1(1) + \gamma_2(x) + \gamma_3(x^2).$$

It is immediately clear that  $\gamma_1 = 0$ ,  $\gamma_2 = -1$ , and  $\gamma_3 = 1$ , and so we write

$$[x^2 - x]_{\mathcal{C}} = \begin{bmatrix} 0 \\ -1 \\ 1 \end{bmatrix}.$$

Therefore we have found the change of basis matrix:

$$P_{\mathcal{C} \leftarrow \mathcal{B}} = \begin{bmatrix} 1 & -\frac{1}{2} & 0 \\ 0 & 1 & -1 \\ 0 & 0 & 1 \end{bmatrix}.$$

5. (22 points) Find the dimension of the described subspace  $W$  of  $V$ .

(a) (11 points)  $V = \mathcal{P}_2$ ,  $W = \{p \in \mathcal{P}_2 : \frac{x^2}{2}p''(x) = p(x)\}$

*Solution:* We need to find a basis for  $W$ . To do so, consider an arbitrary  $p \in \mathcal{P}_2$  given by  $p = ax^2 + bx + c$ . Then  $p'' = 2a$  and so the equation  $\frac{x^2}{2}p''(x) = p(x)$  yields

$$ax^2 = ax^2 + bx + c,$$

or equivalently

$$0 = bx + c.$$

Equating coefficients on each side (note: left-side is same as  $0x + 0$ ) yields  $b = 0$  and  $c = 0$ . Therefore,

$$W = \{ax^2 + bx + c : a \in \mathbb{R}, b = c = 0\} = \{ax^2 : a \in \mathbb{R}\}.$$

A basis for  $W$  could be  $\mathcal{B} = \{x^2\}$  and so  $\dim(W) = 1$ .

(b) (11 points)  $V = \mathcal{P}_2$ ,  $W = \{A \in \mathbb{R}^{2 \times 2} : A^T = A\}$

*Solution:* Let  $A \in \mathbb{R}^{2 \times 2}$  be given by  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ . The condition  $A^T = A$  implies that

$$\begin{bmatrix} a & c \\ b & d \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix}.$$

Therefore we see that  $a = a$  (useless equation),  $c = b$  (useful),  $b = c$  (useful), and  $d = d$  (useless). This means that the top right and bottom left entry must agree in order to satisfy  $A^T = A$ . Therefore

$$W = \left\{ \begin{bmatrix} a & b \\ b & d \end{bmatrix} : a, b, d \in \mathbb{R} \right\}.$$

A basis for  $W$  could be  $\mathcal{B} = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \right\}$ , and hence  $\dim(W) = 3$ .

6. (22 points) Is the function a linear transformation? If so, prove it. If not, explain why not (preferably with a counterexample).

(a) (11 points) 
$$\begin{cases} T: \mathbb{R}^{2 \times 1} \rightarrow \mathcal{P}_2 \\ T\left(\begin{bmatrix} a \\ b \end{bmatrix}\right) = abx^2 + b \end{cases}$$

*Solution:* Not a linear transformation.

$$T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) = T\left(\begin{bmatrix} 2 \\ 2 \end{bmatrix}\right) = 4x^2 + 2,$$

while

$$T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) + T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix}\right) = (x^2 + 1) + (x^2 + 1) = 2x^2 + 2 \neq T\left(\begin{bmatrix} 1 \\ 1 \end{bmatrix} + \begin{bmatrix} 1 \\ 1 \end{bmatrix}\right).$$

This demonstrates that the mapping  $T$  is not a linear transformation.

- (b) (11 points) Let  $V$  be an arbitrary vector space with zero vector  $\vec{0}$ : 
$$\begin{cases} T: V \rightarrow \{\vec{0}\} \\ T(v) = \vec{0} \end{cases}$$

*Solution:* Let  $\vec{v} \in V$ . Then,

$$T(\vec{v} + \vec{v}) = T(2\vec{v}) = \vec{0} = T(\vec{v}) + T(\vec{v}),$$

and

$$T(\alpha\vec{v}) = \vec{0} = \alpha T(\vec{v}).$$

Therefore this map is a linear transformation.

7. (**BONUS: 5 points**) Recall that a sequence is a function whose domain is the integers. Typically, if  $a$  is a sequence, then  $a(n)$  is denoted by  $a_n$ . For example, the sequence  $a_n = n^2$  has values  $a_{-2} = 4$ ,  $a_0 = 0$ ,  $a_1 = 1$ , etc.

Let  $V$  denote the set of real-valued sequences with the property that for all integers  $n$ ,  $1 + a_n > 0$ . Define the addition operation of  $V$ , denoted by  $\oplus$ , to be defined by

$$a_n \oplus b_n = a_n + b_n + a_n b_n.$$

Define scalar multiplication on  $V$ , denoted by  $\odot$ , by

$$\alpha \odot a_n = \alpha a_n \int_0^1 (1 + a_n x)^{\alpha-1} dx.$$

This structure forms a vector space called the “regressive vector space of the time scale of integers”. Consider the sequences  $a_n = n^3$  and  $b_n = n^2$  and compute  $a_n \oplus b_n$  and compute  $2 \odot a_n$ .

*Solution:* By definition,

$$a_n \oplus b_n = n^3 + n^2 + n^3 n^2 = n^3 + n^2 + n^5,$$

and

$$2 \odot a_n = 2n^3 \int_0^1 (1 + n^2 x)^1 dx = 2n^3 \left[ x + \frac{n^2 x^2}{2} \right]_{x=0}^{x=1} = 2n^3 \left( 1 + \frac{n^2}{2} \right).$$