

(1)

Def: A point  $(a,b)$  in plane is called a critical point of  $f(x,y)$  if

$$f_x(a,b) = 0 \text{ AND } f_y(a,b) = 0$$

$$\sqrt{x} \xrightarrow{\frac{d}{dx}} \frac{1}{2\sqrt{x}}$$

OR

one of those partial derivatives doesn't exist



Theorem (2nd deriv test)

Spz all 2nd partials of  $f$  are continuous near  $(a,b)$ .  
also suppose  $f_x(a,b) = 0 = f_y(a,b)$ .

Define

$$D = \det \begin{bmatrix} f_{xx}(a,b) & f_{xy}(a,b) \\ f_{yx}(a,b) & f_{yy}(a,b) \end{bmatrix} \leftarrow \text{often the same}$$
$$= f_{xx}(a,b) f_{yy}(a,b) - f_{xy}(a,b) f_{yx}(a,b)$$

Now:

- (a) if  $D > 0$  and  $f_{xx}(a,b) > 0 \Rightarrow$  local ~~max~~<sup>min</sup> at  $(a,b)$
- (b) if  $D > 0$  and  $f_{xx}(a,b) < 0 \Rightarrow$  local max at  $(a,b)$
- (c) if  $D < 0$ , then a saddle point (neither local max nor local min)
- (d)  $D = 0$ , then no conclusion can be made using this test

Ex: Find & classify all local extrema and saddle points of

$$f(x,y) = x^4 + y^4 - 4xy + 1$$

Soln: 1st Find cp's

$$\begin{cases} f_x = 4x^3 - 4y \stackrel{\text{set}}{=} 0 & \text{(i)} \\ f_y = 4y^3 - 4x \stackrel{\text{set}}{=} 0 & \text{(ii)} \end{cases}$$

From (i)  $\rightarrow$

$$y = x^3$$

plug into (ii)

$$4(x^3)^3 - 4x = 0$$

$$4x^9 - 4x = 0$$

$$x(x^8 - 1) = 0$$

$$x = 0$$

OR

$$x^8 - 1 = 0$$

$$x^8 = 1$$

$$x = \pm \sqrt[8]{1} = \pm 1$$

$$x = 1$$

$$x = -1$$

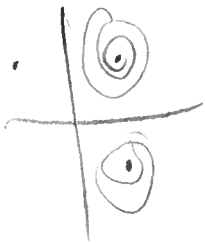
$$y = 1^3 = 1$$

$$y = (-1)^3 = -1$$

$(0,0)$  is a cp

$(1,1)$  is a cp

$(-1,-1)$  is a cp



Compute all 2nd derivs:

(3)

$$f_{xx} = 12x^2$$

$$f_{xy} = -4$$

$$\Rightarrow D = f_{xx}f_{yy} - f_{xy}f_{yx}$$

$$f_{yx} = -4$$

$$f_{yy} = 12y^2$$

$$= 144x^2y^2 - 16$$

CP's

$(0,0), (1,1), (-1,-1)$

at  $(0,0)$ :  $D(0,0) = 144 \cdot 0^2 \cdot 0^2 - 16 = -16 < 0$

$\Downarrow$   
saddle pt at  $(0,0)$

at  $(1,1)$ :  $D(1,1) = 144 \cdot 1^2 \cdot 1^2 - 16 > 0$

$$f_{xx}(1,1) = 12 \cdot 1^2 = 12 > 0$$

$\Downarrow$   
local min at  $(1,1)$

at  $(-1,-1)$ :  $D(-1,-1) = 144(-1)^2(-1)^2 - 16 > 0$

$$f_{xx}(-1,-1) = 12 > 0$$

$\Downarrow$   
local min at  $(-1,-1)$

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Ex: Find + classify cp's of

$$f(x,y) = 10x^2y - 5x^2 - 4y^2 - x^4 - 2y^4$$

Soln:

CPs

$$\begin{cases} f_x = 20xy - 10x - 4x^3 \stackrel{\text{set}}{=} 0 & (i) \\ f_y = 10x^2 - 8y - 8y^3 \stackrel{\text{set}}{=} 0 & (ii) \end{cases}$$

$$(i) \quad 2x(10y - 5 - 2x^2) = 0$$

$$2x = 0$$

$$x = 0$$

Plug into (ii)

$$-8y - 8y^3 = 0$$

$$-8y(1 + y^2) = 0$$

$$-8y = 0$$

$$y = 0$$

$(0,0)$   
is a cp

$$y^2 = -1$$

NO real  
soln

$$10y - 5 - 2x^2 = 0$$

$$y = \frac{5 + 2x^2}{10} = \frac{1}{2} + \frac{x^2}{5}$$

Plug into (ii)

$$10x^2 - 8\left(\frac{1}{2} + \frac{x^2}{5}\right) - 8\left(\frac{1}{2} + \frac{x^2}{5}\right)^3 = 0$$

calculator

$$x \approx \pm 0.85, \pm 2.64$$

$$(0.85, 0.64) \quad (2.64, 1.89)$$

$$(-0.85, 0.64) \quad (-2.64, 1.89)$$

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$$f_{xx} = 20y - 10 - 12x^2 \quad f_{yx} = 20x$$

$$f_{xy} = 20x \quad f_{yy} = -8 - 24y^2$$

$$D = (20y - 10 - 12x^2)(-8 - 24y^2) - 20^2 x^2$$

CPs

(0,0):  $D(0,0) = (-10)(-8) - 0 > 0$   
 $f_{xx}(0,0) = -10 < 0$   $\Rightarrow$  local max at (0,0)

(0.85, 0.64):  $D(0.85, 0.64) \approx -184 < 0 \Rightarrow$  saddle pt at (0.85, 0.64)

(-0.85, 0.64):  $D < 0 \Rightarrow$  saddle pt at (-0.85, 0.64)

(2.64, 1.89):  $D > 0, f_{xx}(2.64, 1.89) < 0 \Rightarrow$  local max at

(-2.64, 1.89):  $D > 0, f_{xx} < 0 \Rightarrow$  local max at (-2.64, 1.89)